

**Date: May 16, 2022**

To,  
**Listing Department,**  
National Stock Exchange of India Limited  
Exchange Plaza, C-1 Block G,  
Bandra Kurla Complex, Bandra (E),  
Mumbai-400051.

**Scrip Symbol: FOCUS**

**Series: EQ**

**Sub: Submission of Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March 2022**

**Ref: Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular no. CIR/CFD/CMD 1/27/2019 dated 8<sup>th</sup> February, 2019**

Dear Sir/ Ma'am,

With reference to the above-mentioned subject, we hereby submit the Annual Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2022 issued by Rathod & Co., Practicing Company Secretaries.

You are requested to take note of the same.

Thanking you,

**For Focus Lighting and Fixtures Limited**



**Drashti Bhimani**  
**Company Secretary & Compliance Officer**



**SECRETARIAL COMPLIANCE REPORT**  
**FOCUS LIGHTING AND FIXTURES LIMITED**  
**for the year ended 31<sup>st</sup> March 2022**

I, Chirag Vinodbhai Rathod, Proprietor - Rathod & Co., Practicing Company Secretary, have examined:

- a. all the documents and records made available to me and explanation provided by **Focus Lighting and Fixtures Limited** ("the Company"),
- b. the filings/ submissions made by the Company to the stock exchanges,
- c. website of the Company,
- d. any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March 2022 ("Review Period") in respect of compliance with the provisions of:

- a. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b. the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not applicable during the Review Period)**
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not applicable during the Review Period)**
- e. The Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 and Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;



