

FORM ISR-1

(SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

Date: ___ / ___ / ____

A. I / We request you to Register / Change / Update the following (Tick relevant box)

| | | |
|--|---|---|
| <input type="checkbox"/> PAN | <input type="checkbox"/> Bank details | <input type="checkbox"/> Signature |
| <input type="checkbox"/> Mobile number | <input type="checkbox"/> E-mail Address | <input type="checkbox"/> Registered Address |

B. Security and KYC Details: [to be filled in by the First Holder]

| | | |
|---|------|------------|
| Name of the Issuer Company | | Folio No.: |
| Name(s) of the Security holder(s) as per the Certificate(s) | | 1. 2. |
| Number & Face value of securities | | |
| Distinctive number of securities (Optional) | From | To |

KYC Details:

| | |
|-----------------|--|
| E mail address: | |
| Mobile No.: | |

C. I/We are submitting document's as per Table below (tick as relevant, refer to the instructions):

| Name(s) of the security holder(s) as per PAN Copies of PAN of all Holder(s) duly self-attested with date to be enclosed with this form. | PAN | PAN linked to Aadhar Tick any one (<input checked="" type="checkbox"/>)* |
|--|-----|---|
| 1. | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 2. | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 3. | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

Note: *PAN shall be valid only if it is linked to Aadhar by March 31, 2022* or any other date as may be specified by CBDT

Bank Account Details of First Holder:

| | |
|----------------------------|---|
| Name of the Bank & Branch: | IFSC |
| Bank A/c No: | Tick any one (<input checked="" type="checkbox"/>) - Acct type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> Any other [] |

Note: Original cancelled Cheque leaf bearing the name of the first holder is mandatory, failing which first security holder shall submit copy of bank passbook/ statement attested by the Bank for registering the bank Account details.

| | |
|-----------------------|---|
| Demat Account Number: | 16 digit DP/CL [] |
|-----------------------|---|

Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.

Authorization: I / We authorise you (RTA) to update the above PAN and KYC details in my / our above folio (s) *use Separate Annexure if extra space is required* in which I / We are the holder(s) (strike off what is not applicable)

Declaration: All the above facts stated are true and correct.

| | First Holder | Joint Holder-1 | Joint Holder-2 | Joint Holder-3 |
|------------------|--------------|----------------|----------------|----------------|
| <u>Signature</u> | | | | |
| <u>Name</u> | | | | |
| <u>Address</u> | | | | |
| <u>PIN</u> | | | | |

Note: If the address mentioned above differs from the address registered with the Company, you are requested to record the new address by submitting the documents as specified in point (3) overleaf.

I/We are submitting documents as per Table below (tick ✓ as relevant, refer to the instructions):

| No. | ✓ | Document/Information/Details | Instruction/Remark |
|-----|--------------------------|--------------------------------------|---|
| 1. | <input type="checkbox"/> | PAN of (all) the (joint) holder(s) | PAN copies of all the holder(s) duly self-attested with date to be enclosed. PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any date as may be specified by the CBDT. For Exemptions / Clarifications on PAN, please refer to Objection Memo as specified in SEBI circular. |
| 2. | <input type="checkbox"/> | Demat Account Number | Provide Client Master List (CML) of your Demat Account, provided by the Depository Participant. |
| 3. | | Proof of Address of the first Holder | <p>Provide self-attested copy of any ONE of the documents, issued by a Govt. Authority, only if there is change in the address;</p> <p><input type="checkbox"/> Client Master List (CML) of your Demat Account, provided by the Depository Participant.</p> <p><input type="checkbox"/> Valid Passport/ Registered Lease or Sale Agreement of Residence/ Driving License/Flat Maintenance Bill*</p> <p><input type="checkbox"/> Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.</p> <p><input type="checkbox"/> Identity card (with Photo) / document with address, issued by Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions.</p> <p><input type="checkbox"/> For FII / sub account, Power of Attorney given by FII / sub- account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.</p> <p><input type="checkbox"/> The proof of address in the name of the spouse*</p> <p>* Kindly provide additional self-attested copy of Identity Proof of the holder/ Claimant.</p> |
| 4. | <input type="checkbox"/> | Bank details | Provide the latest copy of the bank statement attested by the Bank with details of bank name, branch, account number and IFSC, Original cancelled cheque leaf bearing the name of first holder or Bank passbook. Alternatively, Bank details available in the CML as enclosed will be updated in the folio. |
| 5. | <input type="checkbox"/> | E-mail address | As mentioned on Form ISR-1, alternatively the E-mail address available in the CML as enclosed will be updated in the folio. |
| 6. | <input type="checkbox"/> | Mobile | As mentioned on Form ISR-1, alternatively the mobile number available in the CML as enclosed will be updated in the folio. |
| 7. | <input type="checkbox"/> | Specimen Signature | Provide banker's attestation of the signature of the holder(s) as per Form ISR - 2 and Original cancelled cheque leaf bearing the name of the first holder. |
| 8. | | Nomination | <p>Submit Form(s) as per any ONE of the following options.</p> <p><input type="checkbox"/> SH-13 For First Time Nomination</p> <p><input type="checkbox"/> SH-14 For Change in Existing Nomination</p> <p><input type="checkbox"/> SH-14 and ISR-3 For Cancellation of existing Nomination and to "Opt-Out"</p> <p><input type="checkbox"/> ISR-3 To "OPT-OUT" of Nomination or if No-Nomination is required</p> |

Note: All the above forms are also available on the website of the RTA.